

Annexure C

File structure for Bulk Unfreezing

File Name : UNF_YYYYMMDD.Tnn
 File Format : Pipe Delimited file
 UNF : File type
 YYYYMMDD : Business Date
 T : File Indicator (for data file being submitted by the member)
 nn : Batch number (is a running sequence number) – valid values 01 to 99.

Control Record Format:

Field	Length	Mandatory/ Optional	Description
Record Type	Char (2)	Mandatory	Value = 10
Member Type	Char (1)	Mandatory	Value = 'M'
Member Code	Char (5)	Mandatory	Trading Member Code.
Date	Char (8)	Mandatory	Format: DDMMYYYY. Shall be same as in the file name.
Batch Number	Number (2)	Mandatory	Value to start with 01. Shall be same as in the file name.
Total No. of Records	Number (5)	Mandatory	Total number of Detail Records

Detail Record Format:

Detail Record Format:

Sr. No.	Field Name	Maximum Length	Minimum Length	Mandatory/ Optional	Description	Validations
1	Segment	Char (2)	Char (1)	Mandatory	Valid values are: For CM Segment- C For FO Segment- F For CDS Segment- X For SLB Segment- S For Debt Segment - D For Commodity Segment-CO	Segment is Mandatory
2	Client Code	Char (10)	Char (1)	Mandatory	Client Code must be unique as entered by member at the time of placing the order.	Client Code is Mandatory

Sr. No.	Field Name	Maximum Length	Minimum Length	Mandatory/ Optional	Description	Validations
3	Required PAN	Char (10)	Char(10)	Mandatory/ Optional	Pan shall be 10 characters and shall have first 5 alphabets, next 4 numeric and the last alphabet. "PAN_EXEMPT" shall be entered in cases where in obtaining PAN has been exempt.	If "Reason for Unfreezing" Field contains values 2,4,6 or 7 then Required Pan is mandatory
4	Required Name	Char (150)	Char (2)	Mandatory/ Optional	Name of the Client must be in full-surname, first name, middle name. Client Name should not contain all numbers or special characters.	If "Reason for Unfreezing" Field contains values 1,4,5 or 7 then Required Name is mandatory
5	Required Category	Char (2)	Char(1)	Mandatory/ Optional		If "Reason for Unfreezing" Field contains values 3,5,6 or 7 then Required Category is mandatory.
6	CIN	Char(21)	Char(21)	Mandatory/ Optional	CIN is mandatory for client category 4 CIN should not contain all zeros. It should not contain any special characters CIN_EXEMPT shall be entered in cases where in obtaining CIN has been exempt.	If "Required Category" Field contains value "4 & 32" then, CIN is mandatory.

Sr. No.	Field Name	Maximum Length	Minimum Length	Mandatory/ Optional	Description	Validations
7	Reason for Unfreezing	Char (1)	Char (1)	Mandatory	Valid values are: 1 – For Name Change 2 – For Pan Change 3 – For Category Change 4 – For Name & Pan Change 5 – For Name & Category Change 6 – For Pan & Category Change 7 – For Name, Pan & Category Change 8- To get updated Aadhaar-PAN seeding status	
8	Registration No. of Client	Char (25)	Char(5)	Mandatory / Optional	Mandatory for categories 22 to 30 Registering Authority is mandatory if any of Registration No. or Place of Registration or Date of Registration is mentioned. It should not contain all numbers or special characters.	Mandatory for categories change to 22 to 30 & 33 & 34 and all the four fields related to registration details are mandatory for them
9	Registering Authority	Char (60)	Char(3)	Mandatory / Optional	Mandatory for categories 22 to 30 Place of Registration is mandatory if any of Registration No. or Registering Authority or Date of Registration is mentioned. It should not contain all numbers or special characters.	Mandatory for categories change to 22 to 30 & 33 & 34 and all the four fields related to registration details are mandatory for them

Sr. No.	Field Name	Maximum Length	Minimum Length	Mandatory/ Optional	Description	Validations
10	Place of Registration	Char (25)	Char(3)	Mandatory / Optional	Mandatory for categories 22 to 30 Date of Registration is mandatory if any of Registration No. or Registering Authority or Place of Registration is mentioned. Date format shall be DD-MON-YYYY.	Mandatory for categories change to 22 to 30 & 33 & 34 and all the four fields related to registration details are mandatory for them
11	Date of Registration	'DD-MON-YYYY'	'DD-MON-YYYY'	Mandatory / Optional	Mandatory for categories 22 to 30 Registering Authority is mandatory if any of Registration No. or Place of Registration or Date of Registration is mentioned. It should not contain all numbers or special characters.	Mandatory for categories change to 22 to 30 & 33 & 34 and all the four fields related to registration details are mandatory for them
12	Flag	Char(1)	Char(1)	Mandatory	Valid value E to denote end of record	

The Response File will be available in the following format

File Name: TMCODE_UNF_YYYYMMDDHHMMSS.log

File Format: Pipe Delimited file

Sr. No.	Field Name	Maximum Length	Minimum Length	Mandatory/ Optional	Description
1	Segment	Char (2)	Char (1)	Mandatory	Valid values are: For CM Segment- C For FO Segment- F For CDS Segment- X For SLB Segment- S For Debt Segment – D For Commodity Segment-CO
2	Client Code	Char (10)	Char (1)	Mandatory	Client Code must be unique as entered by member at the time of placing the order.

Sr. No.	Field Name	Maximum Length	Minimum Length	Mandatory/ Optional	Description
3	Required PAN	Char (10)	Char(10)	Mandatory/ Optional	Pan shall be 10 characters and shall have first 5 alphabets, next 4 numeric and the last alphabet. "PAN_EXEMPT" shall be entered in cases where in obtaining PAN has been exempt.
4	Required Name	Char (150)	Char (2)	Mandatory/ Optional	Name of the Client must be in full-surname, first name, middle name. Client Name should not contain all numbers or special characters.
5	Required Category	Char (2)	Char(1)	Mandatory/ Optional	
6	CIN	Char(21)	Char(21)	Mandatory/ Optional	CIN is mandatory for client category 4 & 32. CIN should not contain all zeros. It should not contain any special characters CIN_EXEMPT shall be entered in cases where in obtaining CIN has been exempt.
7	Reason for Unfreezing	Char (1)	Char (1)	Mandatory	Valid values are: 1 – For Name Change 2 – For Pan Change 3 – For Category Change 4 – For Name & Pan Change 5 – For Name & Category Change 6 – For Pan & Category Change 7 – For Name, Pan & Category Change 8-to get updated Aadhaar-PAN seeding status
8	Registration No. of Client	Char (25)	Char(5)	Mandatory / Optional	Mandatory for categories 22 to 30 & 33 & 34 Registering Authority is mandatory if any of Registration No. or Place of Registration or Date of Registration is mentioned. It should not contain all numbers or special characters.

Sr. No.	Field Name	Maximum Length	Minimum Length	Mandatory/ Optional	Description
9	Registering Authority	Char (60)	Char(3)	Mandatory / Optional	Mandatory for categories 22 to 30 & 33 & 34 Place of Registration is mandatory if any of Registration No. or Registering Authority or Date of Registration is mentioned It should not contain all numbers or special characters.
10	Place of Registration	Char (25)	Char(3)	Mandatory / Optional	Mandatory for categories 22 to 30 & 33 & 34 Date of Registration is mandatory if any of Registration No. or Registering Authority or Place of Registration is mentioned. Date format shall be DD-MON-YYYY.
11	Date of Registration	'DD-MON-YYYY'	'DD-MON-YYYY'	Mandatory / Optional	Mandatory for categories 22 to 30 & 33 & 34 Registering Authority is mandatory if any of Registration No. or Place of Registration or Date of Registration is mentioned. It should not contain all numbers or special characters.
12	Flag	Char(1)	Char(1)	Mandatory	Valid value E to denote end of record
13	Remarks				Successful Record – Record Loaded Successfully Rejected Record – Reason for rejection